

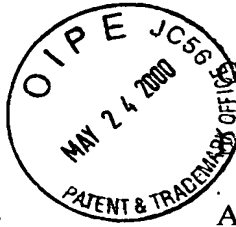
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Grove et al.

Application No.: 09/534,321

Filed: March 24, 2000

For: METHOD AND HIGH-  
PERFORMANCE DELIVERY OF  
WEB CONTENT



Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.:  
10317-004-999

May 24, 2000

TRANSMITTAL

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed the following documents:

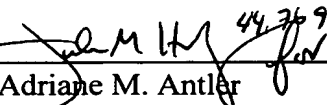
1. Declaration and Power of Attorney;
2. Recordation Form Cover Sheet and Assignment; and
3. Preliminary Amendment.

Since documents to establish small entity status are submitted concurrently herewith, it is estimated that a fee of \$65.00 (small entity) is due for submission of the enclosed Declaration and Power of Attorney. Please charge the required fee to Pennie & Edmonds LLP's deposit account number 16-1150 (order no. 10317-004-999). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

PENNIE & EDMONDS LLP

Date: May 24, 2000

  
Adriane M. Antler 32,605  
(Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures

05-26-00

DEPT REF ROOM 307

Express Mail No.: EL 451 594 264 US

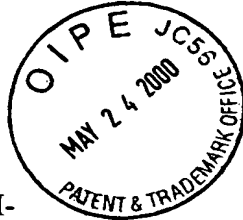
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Adam J. Grove *et al.*

Serial No.: 09/534,321

Filed: March 24, 2000

For: METHOD FOR HIGH-  
PERFORMANCE DELIVERY OF  
WEB CONTENT



Group Art Unit: To be assigned

Examiner: To be assigned

Attorney Docket No.:  
10317-004-999

**REQUEST TO ESTABLISH SMALL ENTITY STATUS AND  
REQUEST FOR REFUND UNDER 37 CFR § 1.28**

Application Processing Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIPT ACCOUNTING  
DIVISION  
200 JUN -8 AM 9:20

Sir:

This Request to Establish Small Entity Status and/or Request for Refund under 37 CFR § 1.28 is made to establish small entity status and, request a refund for the portion of fees paid prior to establishing status as a small entity under 37 CFR § 1.27. The request for refund is timely made as Applicants submitted for filing a Utility Patent Application under 37 CFR § 1.53(b) on March 24, 2000, which is within the two-month time period allowed to request a refund of the excess amount of fees timely paid in full prior to establishing status as a small entity.

On March 24, 2000, Applicants submitted a filing under 37 CFR § 1.53(b). The Patent and Trademark Office was requested to charge \$2,376.00 to Pennie & Edmonds LLP's Deposit Account No. 16-1150, which represents the filing fee afforded to large entities. For your review, we have enclosed a copy of the transmittal letter, dated March 15, 2000, which shows the fee calculation.

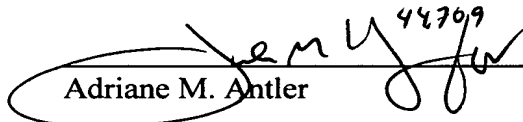
Applicants now submit two executed original Verified Statements (Declarations) Claiming Small Entity Status under § 1.27(c) for Netli, Incorporated and Koala Concepts LLC, respectively, who qualify as Small Entities under 37 C.F.R. §§ 1.9(f) and 1.27(c). It is respectfully requested that the application be accorded Small Entity Status in accordance with 37 C.F.R. §§ 1.9(f) and 1.27(c).

An application fee of \$2,376.00 was timely paid on March 24, 2000, in connection with the above-identified application from Pennie & Edmonds LLP Deposit Account No. 16-1150, of which \$1,188.00 is in excess of the amount now required. Pursuant to 37 CFR § 1.28(a), Applicants request a refund of the excess amount within two months of its timely payment. Accordingly, Applicants hereby request that the amount of **\$1,188.00 be credited** to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

No fee is believed to be due with this filing. However, should the Commissioner determine otherwise, he is authorized to charge the required fee to the Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is attached.

Respectfully submitted,

Date May 24, 2000

  
Adriane M. Antler 32,605  
(Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

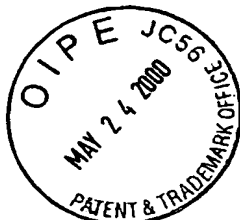
Enclosure

**PENNIE & EDMONDS LLP**

COUNSELLORS AT LAW  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
(212) 790-9090

ATTORNEY DOCKET NO. 10317-004-999Date: March 15, 2000

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231



Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Adam J. Grove et al.

Executed on:

Title of Invention: METHOD FOR HIGH-PERFORMANCE DELIVERY OF WEB CONTENT**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	66	-20	46	\$18.00 each	\$ 828.00
Independent	14	-3	11	\$78.00 each	\$ 858.00
Minimum Fee					\$ 690.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 0.00
<b>Total</b>					\$ 2,376.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 0.00
<b>Total Filing Fee</b>					\$ 2,376.00

- ☒ Priority of application nos. to be assigned filed on February 7, 2000 and March 9, 2000, in Method for Improving Performance of Web Content Delivery is claimed under 35 U.S.C. § 119(e).
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Adriane M. Antler  
PENNIE & EDMONDS LLP

32,605  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.